

Illinois Commerce Commission



Annual Report

Transportation Regulatory Fund Fiscal Year 2019

STATE OF ILLINOIS



ILLINOIS COMMERCE COMMISSION

December 19, 2019

The Honorable JB Pritzker
Governor
207 State House
Springfield, Illinois

Dear Governor Pritzker:

In compliance with Section 18c-1604 of the Illinois Commercial Transportation Law (625 ILCS 5/18c-1604), the Illinois Commerce Commission is submitting an annual report of expenditures from the Transportation Regulatory Fund.

This report contains information pertaining to all monies deposited in the Transportation Regulatory Fund, all expenditures from the Transportation Regulatory Fund, a listing of and description by function of all staff positions and the methods used to allocate expenses between the Transportation Regulatory Fund and other funds.

Sincerely,

A handwritten signature in blue ink, appearing to read "Carrie Zalewski".

Carrie Zalewski
Chairman

cc: State Library

Illinois Commerce Commission
Transportation Regulatory Fund

Annual Report for Expenditures

For Fiscal Year 2019

Section 18c-1604 of the Illinois Commercial Transportation Law, 625 ILCS 5/18c-1604, requires the Illinois Commerce Commission to submit to the Governor and the General Assembly an annual report of expenditures from the Transportation Regulatory Fund. Section 18c-1604 states as follows:

“Annual Report of Expenditures. The Commission shall, within 60 calendar days after the end of the lapse period for each fiscal year, submit to the Governor and the General Assembly a report of the following for such fiscal year:

- (1) All monies deposited in the Transportation Regulatory Fund, showing the total and subtotals by class as defined in subsection (2) of Section 18c-1601 of this Chapter;
- (2) All expenditures from the Transportation Regulatory Fund, showing the total and the subtotals by class as defined in subsection (2) of Section 18c-1601 of this Chapter;
- (3) A listing and description by function of all staff positions actually funded, in whole or in part, at any time during the fiscal year, from the Transportation Regulatory Fund; and
- (4) The methods used to allocate expenses between the Transportation Regulatory Fund and other funds, and between classes within the Transportation Regulatory Fund.”

Subsection (2) of Section 18c-1601 of the Illinois Commercial Transportation Law, 625 ILCS 5/18c-1601, requires that the Commission “account separately for monies from the following classes:

- (a) motor carriers of property (other than carriers engaged in non-relocation towing);
- (b) rail carriers; and
- (c) other monies.”

This report is provided in accordance with the above stated requirement.

625 ILCS 5/18c
Section 1604
Requirement (1)

ILLINOIS COMMERCE COMMISSION
Transportation Regulatory Fund
FY 2019 Income

INCOME ACCOUNT CODE	<u>TOTAL</u>	<u>MOTOR CARRIER</u>	<u>RAILROAD</u>
1 Intrastate Authority Franchise Fees	147,773	147,773	
2 Intrastate Authority Applications	153,700	153,700	
Interstate Stamps/Receipts	3,516,993	3,516,993	
3 Safety Relocator Application/Registrations	553,350	553,350	
4 Petition to Reinstate License	16,200	16,200	
5 Transfers	1,350	1,350	
6 Lease Agreement	70,395	70,395	
7 Exemption Certificates	8,100	8,100	
8 Copying	-	-	
9 Gross Revenue Tax-Railroads	381,412		381,412
10 Miscellaneous Fees	52,241	52,241	
IEMA Radio Grant Reimbursement	-	-	
12 Relocator's License Fees	25,200	25,200	
11 Relocator Tow Record/Invoice	881,000	881,000	
13 Operator's License Fees	28,380	28,380	
14 Dispatcher License Fees	5,790	5,790	
15 Administrative Citations	431,427	431,427	
16 Civil Penalties	213,807	213,807	
17 Broker's License	650	650	
18 Railroad Route Miles	317,098		317,098
19 Railroad Crossing	278,348		278,348
Grade Crossing Protection Fund	3,000,000		3,000,000
21 Warehouse License	35,350	35,350	
22 Warehouse Facility License	22,100	22,100	
23 Collateral Recovery Agency Fees	36,975	36,975	
26 Collateral Recovery Manager Fees	21,500	21,500	
24 Collateral Recovery Employee Fees	36,700	36,700	
25 Collateral Recovery Intern Fees	1,000	1,000	
27 Collateral Recovery Tickets	1,317,000	1,317,000	
28 Collateral Recovery - Miscellaneous Fees	15,033	15,033	
TOTAL	11,568,872	7,592,014	3,976,858

NOTE:

The above table includes funds received by the Commission during FY2019 which may not match the deposit amounts shown by the Comptroller's Office for FY2019. Any differences are due to deposits in-transit between the Commission and the Comptroller's Office. The above figures do not include revenues collected on behalf of other states in the Unified Carrier Registration Program.

625 ILCS 5/18c
Section 1604
Requirement (2)

ILLINOIS COMMERCE COMMISSION
Transportation Regulatory Fund
FY 2019 Expenditures

LINE ITEM	<u>TOTAL EXPENDITURES</u>	<u>MOTOR CARRIER</u>	<u>RAILROAD</u>
Personal Services	5,675,130	2,532,590	3,142,540
Retirement	2,933,938	1,308,807	1,625,131
Social Security	375,641	144,994	230,647
Group Insurance	1,370,660	753,164	617,496
Contractual Services	632,494	344,701	287,793
Travel	30,034	5,403	24,631
Commodities	14,148	11,120	3,028
Printing	29,796	29,233	563
Equipment	144,108	77,729	66,379
Electronic Data Processing	262,295	140,512	121,783
Telecommunications	108,696	86,118	22,578
Operation of Auto	48,584	25,364	23,220
Lump Sum	-	-	-
Refunds	1,450	1,450	-
	TOTAL	5,461,185	6,165,789

NOTE:
The FY2019 expenditures from the Transportation Regulatory Fund by the Illinois Commerce Commission were allocated by transportation mode as shown above. These expenditures do not include the Unified Carrier Registration.

625 ILCS 5/18c
Section 1604
Requirement (3)

ILLINOIS COMMERCE COMMISSION
Transportation Regulatory Fund

The listing below represents all Commerce Commission employees by job titles that were funded from the Transportation Regulatory Fund in FY 2019. This listing also provides a brief description of the major responsibilities of each job title and is sorted in Bureau order.

Office of Chairman and Commissioners

Chief Internal Auditor Directs the agency's internal audit program.

Office of the Executive Director

Executive Director Manages the agency's affairs by planning, coordinating and implementing the policies of the agency in an effective and efficient manner.

Manager - Human Resources Plans, directs and coordinates the Human Resources function of the Commission.

Director of Cyber Security and Risk Management Responsible for prioritizing and supporting the ongoing efforts of regulated Illinois utilities to protect critical infrastructure from cybersecurity risk and unauthorized access to system and electronic data.

Bureau of External Affairs

Legislative Liaison II Assists with the administration of the governmental and legislative program; maintains cooperative working relationships with representatives of governmental agency management, officials, community and civic organizations and representatives of various branches of legislation.

Bureau of Planning and Operations

Director - Administrative Services Directs the activities of the Administrative Services Division.

Manager - Fiscal Assists with budget preparation, performs financial analysis and supervises procurement and contract function in Financial Information Section.

Reproduction Service Supervisor I Serves as lead worker to print shop/mailroom staff and oversees the acquisition and distribution of supplies.

Account Technician II (3 positions) Performs purchasing, voucher processing, and tax compliance functions for the Commission.

Director – Information Technology Directs the activities of the Information Technology department.

625 ILCS 5/18c
Section 1604
Requirement (3)

Information Systems Analyst II (3 positions)	Performs general and routine professional support for computer equipment and associated software.
Information Services Specialist II	Performs general and routine professional support for computer equipment and associated software.
Administrative Assistant I	Provides administrative support for Information Technology Services.
<u>Transportation Division</u>	
Bureau Chief	Manages the Transportation Division.
Technical Advisor (4 positions)	Staff attorney, represents Division in court and before the Commission.
Police Chief	Oversees the Commission's police activities including on-the-road enforcement and administrative staff functions.
Assistant Police Chief	Assists with the management of Commission police activities including on-the-road enforcement and administrative staff functions.
General Services Administrator I	Provides administrative support for statewide Commission enforcement program.
Receptionist	Provides secretarial and clerical support for Commission enforcement program.
Administrative Assistant I	Provides administrative support to the hearings program.
Office Associate	Provides clerical support for the Commission's police and enforcement program.
Transportation Investigator II	Conducts investigations of relocation towing companies, non-sworn.
Police Officer II (5 positions)	Conducts on-the-road enforcement and investigations of intrastate motor carriers.
Police Officer I (3 positions)	Conducts on-the-road enforcement and investigations of intrastate motor carriers.
Transportation Industry Analyst III	Performs staff functions related to the household goods carrier enforcement program including tariffs, audit and dispute resolution.

625 ILCS 5/18c
Section 1604
Requirement (3)

ICC Police Sergeant (2 positions)	Performs day-to-day supervision of the Commission's sworn and non-sworn police section employees.
Manager - Review and Examination	Directs transportation hearings program.
Receptionist	Provides secretarial and clerical support to the hearings program.
Administrative Law Judge IV (2 positions)	Hears cases for household goods carrier authority, relocation towing licenses and railroad crossing improvement petitions.
Customer Service Supervisor	Supervises the day-to-day activities of the Processing and Information Section of the Transportation Bureau. Assigns work to staff members and monitors workflow in order to ensure the Section is providing timely and responsive customer service to regulated entities.
Transportation Industry Customer Service Representative II (6 positions)	Provides services to the public, transportation and insurance industries consistent with the clerical and technical tasks related to various laws, rules and regulations involved in the regulation of motor carriers.
Office Associate	Provides customer service to the motor carrier industry regarding the issuance of licenses, registrations and credentials, filing of leases and the maintenance of liability insurance.
Rail Safety Program Administrator	Directs the Railroad Safety Program.
Railroad Safety Specialist IV (3 positions)	Provide staff work related to the design, installation and maintenance of railroad warning and traffic warning signal systems, investigation of crossing collisions and incidents.
Railroad Safety Specialist III (8 positions)	Provide staff work on cases involving the improvement of railroad grade crossings and crossing separations, conduct crossing signal and surface inspections and conduct Operation Lifesaver Public Education Program.
Railroad Safety Specialist III	Conduct inspections to determine railroad company compliance with operating practice standards.

625 ILCS 5/18c
Section 1604
Requirement (3)

Railroad Safety Specialist III
(2 positions)

Conduct inspections of railroad equipment and facilities carrying or handling hazardous materials.

Railroad Safety Specialist III
(2 positions)

Conduct inspections of mainline track operated by registered rail carriers.

Railroad Safety Specialist II

Provide staff work on cases involving the improvement of railroad grade crossings and crossing separations, conduct crossing signal and surface inspections and conduct Operation Lifesaver Public Education Program.

Receptionist

Performs secretarial and clerical support for the Railroad Safety Program.

625 ILCS 5/18c
Section 1604
Requirement (4)

Allocation of Expenditures
Between the Transportation Regulatory Fund
and Other Funds

Section 18c-1603(2)(b) of the Illinois Commercial Transportation Law, 625 ILCS 5/18c-1603(2)(b), details the requirements for expenses allocated partially or entirely to the Transportation Regulatory Fund.

(2) Allocation of Expenses to the Fund.

(b) Expenses Partially Allocated Entirely to the Transportation Regulatory Fund.

A portion of expenses for the following persons and activities may be allocated to the Transportation Regulatory Fund:

- (i) The Executive Director, his deputies and personal assistants, and their clerical support;
- (ii) The legislative liaison activities of the Office of Legislative Affairs, its constituent elements and successors;
- (iii) The activities of the Bureau of Planning and Operations on the effective date of this amendatory Act of the 94th General Assembly, exclusive of the Chief Clerk's office;
- (iv) The payroll expenses of Commissioners' assistants;
- (v) The internal auditor;
- (vi) The in-state travel expenses of the Commissioners to and from the offices of the Commission; and
- (vii) The Public Affairs Group, its constituent elements, and its successors.

(c) Allocation Methodology for Expenses Other Than Commissioners' Assistants. The portion of the total expenses (other than commissioners' assistants' expenses) allocated to the Transportation Regulatory Fund under paragraph (b) of this subsection shall be the portion of staff time spent exclusively on administration and enforcement of this Chapter and Chapter 18a, as shown by a time study updated at least once each 6 months. For FY 2019 the percentage allocated to the Transportation Regulatory Fund was 31%.

(e) Allocation methodology for Commissioners' Assistants Expenses. Five percent of the payroll expenses of commissioners' assistants may be allocated to the Transportation Regulatory Fund.

625 ILCS 5/18c
Section 1604
Requirement (4)

Allocation of Expenditures Between Classes
Within the Transportation Regulatory Fund

The Motor Carriers of Property, Rail Carriers, and Other Modes

Actual expenditures were charged to the various modes within the transportation fund when identifiable. Where expenditures were not attributable to a specific mode, allocations were determined and applied to these expenditures.