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OFFICE OF THE AUDITOR GENERAL
FRANK J. MAUTINO

VIA EMAIL

November 7, 2024

Honorable J.B. Pritzker, Governor
Don Harmon, President of the Senate
John Curran, Republican Leader
Emanuel "Chris" Welch, House Speaker
Tony McCombie, Republican Leader

Dear Governor and Leaders:

Under the *Government Auditing Standards*, I am required to distribute our reports "to those charged with governance, to the appropriate audited entity officials, and to the appropriate oversight bodies and organizations requiring or arranging for the examination engagement." Today, my Office released to the Department of Innovation and Technology (Department) the Fiscal Year 2024 System and Organization Controls (SOC) Reports related to:

- SOC 1 Report-Shared Services
- SOC 1 Report-Enterprise Resource Planning (ERP)
- SOC 2 Report-Hosting Services

The Department has taken the position the distribution of the SOC reports are restricted under the Illinois Freedom of Information Act (5 ILCS 140/7(1)(o)) "as the SOC reports are administrative or technical information associated with the Department's automated data processing operations and are explicitly covered under the exemption as to the Department's operating protocols, contains documentation pertaining to the logical and physical design of computerized systems, and sharing the information in the public domain further jeopardizes the security of the system, the Department's data, and the security of materials." Therefore, I am giving deference to the Department, as the agency charged in administering and enforcing the automated data processing operations of the agencies and my Office will not distribute the SOC reports directly.

To obtain a copy of the SOC reports, please contact the Department of Innovation and Technology at DoIT.FOIA@Illinois.gov

The reports required under *Government Auditing Standards* for each SOC report can be found on my Office's website at auditor.illinois.gov per our standard process.

A handwritten signature in blue ink that reads "Frank J. Mautino".

Frank J. Mautino
Auditor General