

**STATE OF ILLINOIS  
SEX OFFENDER MANAGEMENT BOARD**

**COMPLIANCE EXAMINATION**

For the Two Years Ended June 30, 2018

STATE OF ILLINOIS  
SEX OFFENDER MANAGEMENT BOARD  
COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2018

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STATE OF ILLINOIS  
SEX OFFENDER MANAGEMENT BOARD  
COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2018

**AGENCY OFFICIALS**

Board Chairperson

Ms. Alyssa Williams-Schafer

The Board's office is located at:

1301 Concordia Court  
P.O. Box 19277  
Springfield, Illinois 62794-9277



## SEX OFFENDER MANAGEMENT BOARD

### MANAGEMENT ASSERTION LETTER

Honorable Frank J. Mautino  
Auditor General  
State of Illinois  
Hes Park Plaza  
740 East Ash Street  
Springfield, Illinois 62703

April 8, 2019

Auditor General Mautino:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the State of Illinois, Sex Offender Management Board. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the State of Illinois, Sex Offender Management Board's compliance with the following assertions during the two-year period ended June 30, 2018. Based on this evaluation, we assert that during the years ended June 30, 2017, and June 30, 2018, the State of Illinois, Sex Offender Management Board has materially complied with the assertions below.

- A. The State of Illinois, Sex Offender Management Board has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, Sex Offender Management Board has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions, or mandatory directions imposed by law upon such obligation, expenditure, receipt, or use.
- C. The State of Illinois, Sex Offender Management Board has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.

Yours truly,

State of Illinois, Sex Offender Management Board

**SIGNED ORIGINAL ON FILE**

Ms. Alyssa Williams-Schafer, Chairperson

STATE OF ILLINOIS  
SEX OFFENDER MANAGEMENT BOARD  
COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2018

**COMPLIANCE REPORT**

**SUMMARY**

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and the Illinois State Auditing Act.

**ACCOUNTANT’S REPORT**

The Independent Accountant’s Report on State Compliance, on Internal Control Over Compliance, and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

**SUMMARY OF FINDINGS**

<u>Number of</u>	<u>Current</u>	<u>Prior</u>
<u>Findings</u>	<u>Report</u>	<u>Report</u>
Findings	1	0
Repeated Findings	0	0
Prior Recommendations Implemented or Not Repeated	0	1

**SCHEDULE OF FINDINGS**

<u>Item No.</u>	<u>Page</u>	<u>Description</u>	<u>Finding Type</u>
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FINDING (STATE COMPLIANCE)

2018-001	7	Board not Staffed as Required	Noncompliance
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**EXIT CONFERENCE**

The Board waived an exit conference in a correspondence from Alyssa Williams-Schafer, Chairperson, on April 5, 2019. The response to the recommendation was provided by Alyssa Williams-Schafer, Chairperson, in a correspondence dated April 5, 2019.

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OFFICE OF THE AUDITOR GENERAL  
FRANK J. MAUTINO

INDEPENDENT ACCOUNTANT'S REPORT ON STATE COMPLIANCE,  
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON  
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

Honorable Frank J. Mautino  
Auditor General  
State of Illinois

**Compliance**

We have examined the State of Illinois, Sex Offender Management Board's compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the two years ended June 30, 2018. The management of the State of Illinois, Sex Offender Management Board is responsible for compliance with these requirements. Our responsibility is to express an opinion on the State of Illinois, Sex Offender Management Board's compliance based on our examination.

- A. The State of Illinois, Sex Offender Management Board has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, Sex Offender Management Board has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions, or mandatory directions imposed by law upon such obligation, expenditure, receipt, or use.
- C. The State of Illinois, Sex Offender Management Board has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act (the Audit Guide). Those standards, the Act, and the Audit Guide require that we plan and perform the examination to obtain reasonable assurance about whether the State of Illinois, Sex Offender Management Board complied, in all material respects, with the specified requirements listed above. An examination involves performing procedures to obtain evidence about whether the State of Illinois, Sex Offender Management Board complied with the specified requirements listed above. The nature, timing, and extent of the procedures

selected depend on our judgement, including an assessment of the risks of material noncompliance, whether due to fraud or error.

We believe that the evidence we obtained is sufficient and appropriate to provide a reasonable basis for our opinion. Our examination does not provide a legal determination on the State of Illinois, Sex Offender Management Board's compliance with specified requirements.

In our opinion, the State of Illinois, Sex Offender Management Board complied, in all material respects, with the compliance requirements listed in the first paragraph of this report during the two years ended June 30, 2018. However, the results of our procedures disclosed instances of noncompliance with the requirements, which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of findings as item 2018-001.

The State of Illinois, Sex Offender Management Board's response to the finding identified in our examination is described in the accompanying schedule of findings. The State of Illinois, Sex Offender Management Board's response was not subjected to the procedures applied in the compliance examination and, accordingly, we express no opinion on the response.

The purpose of this report on compliance is solely to describe the scope of our testing and the results of that testing in accordance with the requirements of the Audit Guide issued by the Illinois Office of the Auditor General. Accordingly, this report is not suitable for any other purpose.

### **Internal Control**

Management of the State of Illinois, Sex Offender Management Board is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the State of Illinois, Sex Offender Management Board's internal control over compliance with the requirements listed in the first paragraph of this report to determine the examination procedures that are appropriate in the circumstances for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of the State of Illinois, Sex Offender Management Board's internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois, Sex Offender Management Board's internal control over compliance.

*A deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with the requirements listed in the first paragraph of this report on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies and, therefore, material weaknesses or significant deficiencies may exist that have not been identified. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

As required by the Audit Guide, an immaterial finding excluded from this report has been reported in a separate letter.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Audit Guide, issued by the Illinois Office of the Auditor General. Accordingly, this report is not suitable for any other purpose.

#### **Supplementary Information for State Compliance Purposes**

Our examination was conducted for the purpose of forming an opinion on compliance with the requirements listed in the first paragraph of this report. The accompanying supplementary information for the years ended June 30, 2018, and June 30, 2017, in Schedules 1 through 4 and the Analysis of Operations Section is presented for purposes of additional analysis. We have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2018, and June 30, 2017, accompanying supplementary information in Schedules 1 through 4. However, we do not express an opinion on the accompanying supplementary information.

We have not applied procedures to the June 30, 2016, accompanying supplementary information in Schedules 3 and 4 and in the Analysis of Operations Section and, accordingly, we do not express an opinion or provide any assurance on it.

**SIGNED ORIGINAL ON FILE**

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JANE CLARK, CPA  
Director of Financial and Compliance Audits

Springfield, Illinois  
April 8, 2019



STATE OF ILLINOIS  
SEX OFFENDER MANAGEMENT BOARD  
**SCHEDULE OF FINDINGS**  
For the Two Years Ended June 30, 2018

2018-001. **FINDING** (Board not Staffed as Required)

The Sex Offender Management Board (Board) was not seated in accordance with the requirements set forth in the Sex Offender Management Board Act (Act).

During testing, we noted the following:

- As of June 30, 2018, the terms for 10 of the Board's 22 (45%) appointees had expired. The terms for these 10 members expired between January 24, 2017, and June 19, 2018. Of these 10 positions, 8 are to be filled with gubernatorial appointees, and 2 are to be filled with appointees named by the Attorney General.
- One member appointed to the Board during the examination period was appointed to a term of less than five years. The member was appointed by the Governor to serve a term of four years and two months.

The Act (20 ILCS 4026/15) requires the Governor and Attorney General to each appoint certain members of the Board for a term of five years.

Board personnel indicated they do not have authority to reappoint existing members or appoint new members, as the Governor and Attorney General are charged with the duty to make these specific appointments.

Failure to appoint Board members in a timely manner and for sufficient appointment terms represents noncompliance with the Act and could lead to the Board's inability to properly conduct its functions as intended by the General Assembly. (Finding Code No. 2018-001)

**RECOMMENDATION**

We recommend the Board continue to communicate information regarding expired terms to the parties responsible for making appointments.

**BOARD RESPONSE**

The Board will continue to communicate with the appointing entities to get all member vacancies filled.

STATE OF ILLINOIS  
SEX OFFENDER MANAGEMENT BOARD  
COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2018

**SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES**

**SUMMARY**

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

- Fiscal Schedules and Analysis:

- Schedule of Appropriations, Expenditures, and Lapsed Balances – Fiscal Year 2018

- Schedule of Appropriations, Expenditures, and Lapsed Balances – Fiscal Year 2017

- Comparative Schedule of Net Appropriations, Expenditures, and Lapsed Balances

- Schedule of Changes in State Property

- Analysis of Operations (Not Examined):

- Agency Functions and Planning Program (Not Examined)

- Analysis of Significant Variations in Expenditures (Not Examined)

- Analysis of Significant Lapse Period Spending (Not Examined)

- Budget Impasse Disclosures (Not Examined)

- Alternative Financing in Lieu of Appropriations and Programs to Address Untimely Payments to Vendors (Not Examined)

- Interest Costs on Fiscal Year 2017 Invoices (Not Examined)

- Average Number of Employees (Not Examined)

- Service Efforts and Accomplishments (Not Examined)

The accountant's report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states the accountants have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2018, and June 30, 2017, accompanying supplementary information in Schedules 1 through 4. However, the accountants do not express an opinion on the supplementary information. The accountant's report also states that they have not applied procedures to the Analysis of Operations Section and, accordingly, they do not express an opinion or provide any assurance on it.

STATE OF ILLINOIS  
SEX OFFENDER MANAGEMENT BOARD  
**SCHEDULE OF APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES**  
Expenditure Authority for Fiscal Year 2018

For the Fifteen Months Ended September 30, 2018

Public Act 100-0021 FISCAL YEAR 2018	Expenditure Authority (Net of Transfers)	Lapse Period		Total Expenditures 15 Months Ended September 30	Balances Lapsed September 30
		Expenditures Through June 30	Expenditures July 1 to September 30		
<b>APPROPRIATED FUNDS</b>					
Sex Offender Management Board Fund - 527					
Evaluation, Treatment, and Monitoring Programs and Grants	\$ 100,000	\$ -	\$ 4,843	\$ 4,843	\$ 95,157
Total, Fund 527	<u>\$ 100,000</u>	<u>\$ -</u>	<u>\$ 4,843</u>	<u>\$ 4,843</u>	<u>\$ 95,157</u>
Subtotal - Appropriated Funds	<u>\$ 100,000</u>	<u>\$ -</u>	<u>\$ 4,843</u>	<u>\$ 4,843</u>	<u>\$ 95,157</u>
<b>GRAND TOTAL - ALL FUNDS</b>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 4,843</u>	<u>\$ 4,843</u>	

- Note 1: Expenditure authority, appropriations, expenditures, and lapsed balances were obtained from the State Comptroller's records as of September 30, 2018, and have been reconciled to the Board's records.
- Note 2: Expenditure amounts are vouchers approved for payment by the Board and submitted to the State Comptroller for payment to the vendor.
- Note 3: Notwithstanding anything within Public Act 100-0021 to the contrary, Public Act 100-0021 authorized the Board to pay for all costs incurred prior to July 1, 2018, using either its Fiscal Year 2017 or Fiscal Year 2018 appropriations for non-payroll expenditures. The Analysis of Operations section of this report at page 17 includes information from Board management about the number of invoices and the total dollar amount of invoices from Fiscal Year 2016 and Fiscal Year 2017 held by the Board which were submitted against either its Fiscal Year 2017 or Fiscal Year 2018 appropriation.

STATE OF ILLINOIS  
SEX OFFENDER MANAGEMENT BOARD  
**SCHEDULE OF APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES**  
Appropriations for Fiscal Year 2017

For the Fifteen Months Ended September 30, 2017

	Appropriations (Net of Transfers)	Lapse Period		Total Expenditures 15 Months Ended September 30	Balances Lapsed September 30
		Expenditures July 1 to September 30	Expenditures Through June 30		
Public Act 99-0524					
<b>FISCAL YEAR 2017</b>					
<b><u>APPROPRIATED FUNDS</u></b>					
Sex Offender Management Board Fund - 527					
Evaluation, Treatment, and Monitoring Programs and Grants	\$ 100,000	\$ 6,676	\$ -	\$ 6,676	\$ 93,324
Total, Fund 527	<u>\$ 100,000</u>	<u>\$ 6,676</u>	<u>\$ -</u>	<u>\$ 6,676</u>	<u>\$ 93,324</u>
Subtotal - Appropriated Funds	<u>\$ 100,000</u>	<u>\$ 6,676</u>	<u>\$ -</u>	<u>\$ 6,676</u>	<u>\$ 93,324</u>
<b>GRAND TOTAL - ALL FUNDS</b>	<u>\$</u>	<u>\$ 6,676</u>	<u>\$ -</u>	<u>\$ 6,676</u>	

- Note 1: Expenditure authority, appropriations, expenditures, and lapsed balances were obtained from the State Comptroller's records as of September 30, 2017, and have been reconciled to the Board's records.
- Note 2: Expenditure amounts are vouchers approved for payment by the Board and submitted to the State Comptroller for payment to the vendor.
- Note 3: Public Act 99-0524 authorized the Board to pay Fiscal Year 2016 costs using its Fiscal Year 2017 appropriations for non-payroll expenditures. The Analysis of Operations section of this report at page 17 includes information from Board management about the number of invoices and the total dollar amount of invoices held by the Board submitted against its Fiscal Year 2017 appropriation.
- Note 4: Notwithstanding anything within Public Act 100-0021 to the contrary, Public Act 100-0021 authorized the Board to pay for all costs incurred prior to July 1, 2018, using either its Fiscal Year 2017 or Fiscal Year 2018 appropriations for non-payroll expenditures. The Analysis of Operations section of this report at page 17 includes information from Board management about the number of invoices and the total dollar amount of invoices from Fiscal Year 2016 and Fiscal Year 2017 held by the Board which were submitted against either its Fiscal Year 2017 or Fiscal Year 2018 appropriation.

STATE OF ILLINOIS  
SEX OFFENDER MANAGEMENT BOARD  
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,  
EXPENDITURES, AND LAPSED BALANCES**

For the Fiscal Years Ended June 30, 2018, 2017, and 2016

	Fiscal Year		
	2018	2017	2016
	P.A. 100-0021	P.A. 99-0524	P.A. 99-0524
Sex Offender Management Board Fund - 527			
Appropriations			
(Net of Transfers)	\$ 100,000	\$ 100,000	\$ 100,000
<u>Expenditures</u>			
Evaluation, Treatment, and			
Monitoring Programs and Grants	\$ 4,843	\$ 6,676	\$ 2,350
Total Expenditures	\$ 4,843	\$ 6,676	\$ 2,350
Lapsed Balances	\$ 95,157	\$ 93,324	\$ 97,650

Note 1: Fiscal Year 2018 and 2017 expenditure authority, appropriations, expenditures, and lapsed balances were obtained from the State Comptroller's records as of September 30, 2018, and September 30, 2017, and have been reconciled to the Board's records.

Note 2: Expenditure amounts are vouchers approved for payment by the Board and submitted to the State Comptroller for payment to the vendor.

Note 3: Public Act 99-0524 authorized the Board to pay Fiscal Year 2016 costs using its Fiscal Year 2017 appropriations for non-payroll expenditures. The Analysis of Operations section of this report at page 17 includes information from Board management about the number of invoices and the total dollar amount of invoices held by the Board submitted against its Fiscal Year 2017 appropriation.

Note 4: Notwithstanding anything within Public Act 100-0021 to the contrary, Public Act 100-0021 authorized the Board to pay for all costs incurred prior to July 1, 2018, using either its Fiscal Year 2017 or Fiscal Year 2018 appropriations for non-payroll expenditures. The Analysis of Operations section of this report at page 17 includes information from Board management about the number of invoices and the total dollar amount of invoices from Fiscal Year 2016 and Fiscal Year 2017 held by the Board which were submitted against either its Fiscal Year 2017 or Fiscal Year 2018 appropriation.

STATE OF ILLINOIS  
SEX OFFENDER MANAGEMENT BOARD  
**SCHEDULE OF CHANGES IN STATE PROPERTY**  
For the Two Years Ended June 30, 2018

	<u>Equipment</u>
Balance at July 1, 2016	\$ 3,522
Additions	-
Deletions	-
Net Transfers	<u>-</u>
Balance at June 30, 2017	\$ 3,522
Additions	-
Deletions	-
Net Transfers	<u>-</u>
Balance at June 30, 2018	<u><u>\$ 3,522</u></u>

Note: This schedule has been derived from the Board's records, which have been reconciled to property reports submitted to the Office of the State Comptroller.

STATE OF ILLINOIS  
SEX OFFENDER MANAGEMENT BOARD  
**AGENCY FUNCTIONS AND PLANNING PROGRAM**  
**(NOT EXAMINED)**  
For the Two Years Ended June 30, 2018

The Sex Offender Management Board (Board) was established by the Sex Offender Management Board Act (Act) (20 ILCS 4026/1 et seq.) on July 22, 1997. From the date of creation until December 31, 2012, the Board was required to standardize the evaluation, identification, counseling, and continued monitoring of sex offenders at each stage of the criminal or juvenile justice systems or mental health systems. The Act was revised as of January 1, 2013, and now requires the Board to assist in the education and training of parole, probation, law enforcement, treatment providers, and others involved in the management of sex offenders. This program standardizes the evaluation, treatment, and management of sex offenders at each stage of the criminal or juvenile justice systems or mental health systems so those offenders will curtail recidivistic behavior, and the protection of victims and potential victims is enhanced.

The Board's mission is "to provide information and resources necessary for the effective management of juvenile and adult sex offenders in an effort to enhance public safety." The Board established the goals as follows:

- Maintain practice standards for the evaluation and management of sex offenders to be implemented with offenders in the custody of or under the supervision of probation, Department of Corrections, Department of Juvenile Justice, or Department of Human Services.
  - Periodically review and modify, as necessary, the standardized procedures based upon best practices.
- Provide education and training to promote the utilization of best practices for the effective management of sex offenders.
  - Provide a minimum of two trainings annually to parole, probation, law enforcement, victim's advocates, treatment providers, and others involved in the management of sex offenders on best practices for the evaluation, treatment, and supervision of sex offenders.

## **BOARD**

As a result of Public Act 97-1098, effective January 1, 2013, the Board is currently composed of 22 members, 10 appointed by the Governor, six appointed by the Attorney General from various state agencies or law enforcement entities, and the remaining six appointments filled by the Director of the Administrative Office of the Illinois Courts, the Cook County's State's Attorney, the Director of the State's Attorneys Appellate Prosecutor, the Cook County Public Defender, the State Appellate Defender, and the Executive Director of the Illinois Criminal Justice Information Authority.

STATE OF ILLINOIS  
SEX OFFENDER MANAGEMENT BOARD  
**AGENCY FUNCTIONS AND PLANNING PROGRAM**  
**(NOT EXAMINED)**  
For the Two Years Ended June 30, 2018

The members of the Board as of June 30, 2018, were:

Gubernatorial Appointees

Alyssa Williams-Schafer, Chairperson, Department of Corrections Representative  
Jaime Oliphant, Illinois Probation and Court Services Association Representative  
Jeff Sim, Department of Juvenile Justice Representative  
Gregg Scott, Department of Human Services Representative  
Tracie Newton, State Police Representative  
Najma Adam, Department of Children and Family Services Representative  
Shawn Albert, Law Enforcement Representative – Juvenile Sex Offender Experience  
Vern Foli, Law Enforcement Representative  
Michael Anton, Illinois Polygraph Society Representative  
Guy Groot, Illinois Chapter of the Association for Treatment of Sexual Abusers Representative

Attorney General Appointees

Margaret Menzenberger, Office of the Attorney General Representative  
Abdi Tinwalla, Mental Health Provider Representative  
Brendan Kelly, State’s Attorney Representative – Juvenile Court Matters  
Jason Chambers, State’s Attorney Representative – Felony Court Matters  
Carol Corgan, Sexual Assault Victim’s Advocate Representative  
Mickie Owens, Sexual Assault Victim’s Advocate Representative

Director of the Administrative Office of Illinois Courts

Marcia Meis

Cook County State’s Attorney Representative

Annette Milleville

Director of the State’s Attorney Appellate Prosecutor

Patrick Delfino

Cook County Public Defender’s Office Representative

Elizabeth Tarzia

Office of the State Appellate Defender Representative

Jacqueline Bullard

Illinois Criminal Justice Information Authority Representative

Simeon Kim



STATE OF ILLINOIS  
SEX OFFENDER MANAGEMENT BOARD  
**ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES  
(NOT EXAMINED)**  
For the Two Years Ended June 30, 2018

**ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2017 AND 2018**

**Sex Offender Management Board Fund - 0527**

No significant variations in expenditures between Fiscal Years 2017 and 2018 were noted.

**ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2016 AND 2017**

**Sex Offender Management Board Fund - 0527**

The increase in expenditures from Fiscal Year 2016 to Fiscal Year 2017 was due to an increase in the cost of trainings provided by the Board. The expenditures are expected to vary from year to year, depending on the vendors utilized and related travel costs.

STATE OF ILLINOIS  
SEX OFFENDER MANAGEMENT BOARD  
**ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING**  
**(NOT EXAMINED)**  
For the Two Years Ended June 30, 2018

**FISCAL YEAR 2018**

The significant Lapse Period spending during Fiscal Year 2018 was due to an invoice not being received until July 16, 2018, and challenges faced reimbursing a speaker who was not a United States citizen for a training event.

**FISCAL YEAR 2017**

The Board did not have any Lapse Period spending during Fiscal Year 2017.

STATE OF ILLINOIS  
SEX OFFENDER MANAGEMENT BOARD  
**BUDGET IMPASSE DISCLOSURES**  
**(NOT EXAMINED)**  
For the Two Years Ended June 30, 2018

Payment of Prior Year Costs in Future Fiscal Years

Article 74 of Public Act 99-0524 authorized the Sex Offender Management Board (Board) to pay Fiscal Year 2016 costs using the Board's Fiscal Year 2017 appropriations for non-payroll expenditures. The Board did not have any outstanding invoices from Fiscal Year 2016 unpaid after the closure of the Fiscal Year 2016 Lapse Period on August 31, 2016. Therefore, the Board did not use its Fiscal Year 2017 appropriations to pay its Fiscal Year 2016 costs.

In addition, Article 998 of Public Act 100-0021 authorized the Board to pay its unpaid Fiscal Year 2016 and Fiscal Year 2017 costs using either the Board's Fiscal Year 2017 or Fiscal Year 2018 appropriations for non-payroll expenditures. The Board did not have any outstanding invoices from Fiscal Year 2017 unpaid after the closure of the Fiscal Year 2017 Lapse Period on September 30, 2017. Therefore, the Board did not use its Fiscal Year 2017 appropriations to pay its Fiscal Year 2016 costs and did not use either its Fiscal Year 2017 or Fiscal Year 2018 appropriations to pay its Fiscal Year 2016 or Fiscal Year 2017 costs.

STATE OF ILLINOIS  
SEX OFFENDER MANAGEMENT BOARD  
**ALTERNATIVE FINANCING IN LIEU OF APPROPRIATIONS AND  
PROGRAMS TO ADDRESS UNTIMELY PAYMENTS TO VENDORS  
(NOT EXAMINED)**

For the Two Years Ended June 30, 2018

Transactions Involving the Illinois Finance Authority

The Sex Offender Management Board (Board) and its vendors did not participate in alternative financing in lieu of enacted appropriations involving the Illinois Finance Authority during Fiscal Year 2017.

Transactions Involving the Vendor Payment Program and Vendor Support Initiative Program

None of the Board's vendors participated in the Vendor Payment Program (VPP) or the Vendor Support Initiative Program (VSI) during Fiscal Year 2017.

STATE OF ILLINOIS  
SEX OFFENDER MANAGEMENT BOARD  
**INTEREST COSTS ON FISCAL YEAR 2017 INVOICES**  
**(NOT EXAMINED)**  
For the Two Years Ended June 30, 2018

Prompt Payment Interest Costs

The Board did not incur any prompt payment interest due to vendors under the State Prompt Payment Act (Act) (30 ILCS 540). The Board's vendors were paid within 90 days.

STATE OF ILLINOIS  
SEX OFFENDER MANAGEMENT BOARD  
**AVERAGE NUMBER OF EMPLOYEES**  
**(NOT EXAMINED)**  
For the Two Years Ended June 30, 2018

The Sex Offender Management Board (Board) did not have any employees during the examination period. The Board relied upon staff of the Department of Corrections during the examination period to perform all administrative and fiscal functions of the Board.

STATE OF ILLINOIS  
SEX OFFENDER MANAGEMENT BOARD  
**SERVICE EFFORTS AND ACCOMPLISHMENTS**  
**(NOT EXAMINED)**  
For the Two Years Ended June 30, 2018

**Trainings Provided**

The Sex Offender Management Board (Board) offered six trainings during the examination period. They were as follows:

**Registration for Corrections Professionals – July 7, 2016 and July 21, 2016**

The course provided information regarding all aspects of the Sex Offender Registration Act (730 ILCS 150). Additionally, the training discussed all felony residency and loitering restrictions that apply to all child sex offenders and all misdemeanor park restrictions that apply to all child sex offenders and sexual predators. Finally, the course discussed an outline and understanding of the processes and documentation required for registration, updates, and notification of sex offenders.

**Responding to Child Sexual Abuse – October 13, 2016**

The training presented an overview of the National Center for Missing & Exploited Children (NCMEC) resources available to law enforcement. Techniques for utilizing specific online open sources were provided, and case examples were used to detail the free analytical resources available to law enforcement in searching for individual offenders or while conducting large offender operations. The presentation discussed the Sex Offender Tracking Team (SOTT) and how it can assist law enforcement in locating noncompliant sex offenders.

In addition, information on registration law updates were presented. This included a discussion on felony residency and loitering restrictions contained in the Criminal Code of 2012 (720 ILCS 5/11-9.3) and misdemeanor park restrictions (720 ILCS 5/11-9.4-1) that apply to all child sex offender and sexual predators.

The training closed with a discussion on forensic interviewing, handling, molestation, pornography, solicitation, trafficking, and advocacy of child victim crimes related to sexual abuse.

**Assessment and Treatment of Sex Offenders – March 16, 2017 and March 17, 2017**

The first session presented on the assessment and treatment of juvenile sex offenders. The second session focused on the standards for assessment and treatment of adult sex offenders. In addition, break-out sessions were conducted with various speakers from the fields of sex offender treatment and management.

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**Sex Offender Registry – August 31, 2017**

The training discussed the Illinois Sex Offender Registration, Illinois Violent Offender Against Youth Registration, and residency restrictions. The discussion focused on individuals who are adjudicated delinquent for a sexual offense, as well as, the youth who are convicted as felons.

**Illinois Standards for Sex Offender Treatment and Evaluation – November 16, 2017**

The training provided a comprehensive overview of the changes to the standards for treatment and evaluation of sex offenders in the State with various speakers presenting on how the standards guide their work. Specific topics reviewed included: a discussion on the impact of community based treatment and evaluation; probation, pre-trial issues, uses of assessments and presentence investigations, treatment review, and compliance; sexually violent persons cases, how evaluations are utilized, and issues that may occur related to the standards; and, an examination on the treatment and evaluation of sexually violent persons and offenders being considered for conditional release.

**Building Bridges Conference – March 15, 2018 and March 16, 2018**

The first session, Dynamic Risk Assessment for Sexual Offenders, discussed how to assess and manage risk using dynamic assessment methodologies in the treatment and supervision of community-based and incarcerated sexual offenders.

The second session, Addressing Child Sexual Abuse: The Case for a Public Health Approach, explored the scope of sexual offending in adolescence and presented approaches to address problematic adolescent sexual behavior.