

Illinois Commerce Commission



Annual Report

Transportation Regulatory Fund Fiscal Year 2020

STATE OF ILLINOIS



ILLINOIS COMMERCE COMMISSION

November 18, 2020

The Honorable JB Pritzker
Governor
207 State House
Springfield, Illinois

Dear Governor Pritzker,

In compliance with Section 18c-1604 of the Illinois Commercial Transportation Law (625 ILCS 5/18c-1604), the Illinois Commerce Commission is submitting an annual report of expenditures from the Transportation Regulatory Fund.

This report contains information pertaining to all monies deposited in the Transportation Regulatory Fund, all expenditures from the Transportation Regulatory Fund, a listing of and description by function of all staff positions and the methods used to allocate expenses between the Transportation Regulatory Fund and other funds.

Sincerely,

A handwritten signature in blue ink, appearing to read "Carrie Zalewski".

Carrie Zalewski
Chairman

Illinois Commerce Commission
Transportation Regulatory Fund

Annual Report for Expenditures

For Fiscal Year 2020

Section 18c-1604 of the Illinois Commercial Transportation Law, 625 ILCS 5/18c-1604, requires the Illinois Commerce Commission to submit to the Governor and the General Assembly an annual report of expenditures from the Transportation Regulatory Fund. Section 18c-1604 states as follows:

“Annual Report of Expenditures. The Commission shall, within 60 calendar days after the end of the lapse period for each fiscal year, submit to the Governor and the General Assembly a report of the following for such fiscal year:

- (1) All monies deposited in the Transportation Regulatory Fund, showing the total and subtotals by class as defined in subsection (2) of Section 18c-1601 of this Chapter;
- (2) All expenditures from the Transportation Regulatory Fund, showing the total and the subtotals by class as defined in subsection (2) of Section 18c-1601 of this Chapter;
- (3) A listing and description by function of all staff positions actually funded, in whole or in part, at any time during the fiscal year, from the Transportation Regulatory Fund; and
- (4) The methods used to allocate expenses between the Transportation Regulatory Fund and other funds, and between classes within the Transportation Regulatory Fund.”

Subsection (2) of Section 18c-1601 of the Illinois Commercial Transportation Law, 625 ILCS 5/18c-1601, requires that the Commission “account separately for monies from the following classes:

- (a) motor carriers of property (other than carriers engaged in non-relocation towing);
- (b) rail carriers; and
- (c) other monies.”

This report is provided in accordance with the above stated requirement.

625 ILCS 5/18c
Section 1604
Requirement (1)

ILLINOIS COMMERCE COMMISSION
Transportation Regulatory Fund
FY 2020 Income

INCOME ACCOUNT CODE	<u>TOTAL</u>	<u>CARRIER</u>	<u>RAILROAD</u>
Intrastate Authority Franchise Fees	135,450	135,450	
Intrastate Authority Applications	121,100	121,100	
Interstate Stamps/Receipts	3,516,993	3,516,993	
Safety Relocator Application/Registrations	554,550	554,550	
Petition to Reinstate License	21,600	21,600	
Transfers	1,350	1,350	
Lease Agreement	55,590	55,590	
Exemption Certificates	4,700	4,700	
Copying	123	123	
Gross Revenue Tax-Railroads	439,261	-	439,261
Miscellaneous Fees	20,454	20,454	
Relocator's License Fees	13,500	13,500	
Relocator Tow Record/Invoice	839,000	839,000	
Operator's License Fees	24,150	24,150	
Dispatcher License Fees	5,430	5,430	
Administrative Citations	353,120	353,120	
Civil Penalties	211,711	211,711	
Broker's License	800	800	
Railroad Route Miles	312,991		312,991
Railroad Crossing	278,936		278,936
Grade Crossing Protection Fund	3,000,000		3,000,000
Warehouse License	28,050	28,050	
Warehouse Facility License	12,500	12,500	
Collateral Recovery Agency Fees	18,475	18,475	
Collateral Recovery Manager Fees	11,400	11,400	
Collateral Recovery Employee Fees	30,925	30,925	
Collateral Recovery Intern Fees	875	875	
Collateral Recovery Tickets	1,089,750	1,089,750	
Collateral Recovery - Miscellaneous Fees	10,467	10,467	
TOTAL	11,113,251	7,082,063	4,031,188

NOTE:

The above table includes funds received by the Commission during FY2020 which may not match the deposit amounts shown by the Comptroller's Office for FY2020. Any differences are due to deposits in-transit between the Commission and the Comptroller's Office. The above figures do not include revenues collected on behalf of other states in the Unified Carrier Registration Program.

625 ILCS 5/18c
Section 1604
Requirement (2)

ILLINOIS COMMERCE COMMISSION
Transportation Regulatory Fund
FY 2020 Expenditures

LINE ITEM	<u>TOTAL EXPENDITURES</u>	<u>MOTOR CARRIER</u>	<u>RAILROAD</u>
Personal Services	5,534,998	2,616,391	2,918,607
Retirement	3,009,126	1,421,838	1,587,288
Social Security	369,703	156,175	213,528
Group Insurance	1,227,588	675,122	552,466
Contractual Services	475,425	266,689	208,736
Travel	23,546	893	22,653
Commodities	8,285	5,472	2,813
Printing	28,371	27,004	1,367
Equipment	167,677	75,993	91,684
Electronic Data Processing	264,145	131,802	132,343
Telecommunications	254,040	225,871	28,169
Operation of Auto	44,069	22,889	21,180
Lump Sum	-	-	-
Refunds	3,413	3,413	-
TOTAL	11,410,386	5,629,552	5,780,834

NOTE:

The FY2020 expenditures from the Transportation Regulatory Fund by the Illinois Commerce Commission were allocated by transportation mode as shown above. These expenditures do not include the Unified Carrier Registration.

625 ILCS 5/18c
Section 1604
Requirement (3)

ILLINOIS COMMERCE COMMISSION
Transportation Regulatory Fund

The listing below represents all Commerce Commission employees by job titles that were funded from the Transportation Regulatory Fund in FY 2020. This listing also provides a brief description of the major responsibilities of each job title and is sorted in Bureau order.

Office of Chairman and Commissioners

Chief Internal Auditor Directs the agency's internal audit program.

Office of the Executive Director

Executive Director Manages the agency's affairs by planning, coordinating and implementing the policies of the agency in an effective and efficient manner.

Manager - Human Resources Plans, directs and coordinates the Human Resources function of the Commission.

Director of Cyber Security and Risk Management Supports the ongoing efforts of regulated Illinois utilities to improve resiliency and cybersecurity of critical infrastructure. Engage with ICC staff and external stakeholders on emerging transportation technology risks.

Bureau of External Affairs

Legislative Liaison II Assists with the administration of the governmental and legislative program; maintains cooperative working relationships with representatives of governmental agency management, officials, community and civic organizations and representatives of various branches of legislation.

Bureau of Planning and Operations

Director - Administrative Services Directs the activities of the Administrative Services Division.

Manager - Fiscal Assists with budget preparation, performs financial analysis and supervises procurement and contract function in Financial Information Section.

Reproduction Service Supervisor I Serves as lead worker to print shop/mailroom staff and oversees the acquisition and distribution of supplies.

Account Technician II (3 positions) Performs purchasing, voucher processing, and tax compliance functions for the Commission.

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Section 1604
Requirement (3)

Director – Information Technology	Directs the activities of the Information Technology department.
Information Systems Analyst II (3 positions)	Performs general and routine professional support for computer equipment and associated software.
Information Services Specialist II	Performs general and routine professional support for computer equipment and associated software.
Administrative Assistant I	Provides administrative support for Information Technology Services.
<u>Transportation Division</u>	
Bureau Chief	Manages the Transportation Division.
Technical Advisor (4 positions)	Staff attorney, represents Division in court and before the Commission.
Police Chief	Oversees the Commission’s police activities including on-the-road enforcement and administrative staff functions.
Assistant Police Chief	Assists with the management of Commission police activities including on-the-road enforcement and administrative staff functions.
General Services Administrator I	Provides administrative support for statewide Commission enforcement program.
Receptionist	Provides secretarial and clerical support for Commission enforcement program.
Administrative Assistant I	Provides administrative support to the hearings program.
Office Associate	Provides clerical support for the Commission’s police and enforcement program.
Transportation Investigator II	Conducts investigations of relocation towing companies, non-sworn.
Police Officer II (5 positions)	Conducts on-the-road enforcement and investigations of intrastate motor carriers.
Police Officer I (5 positions)	Conducts on-the-road enforcement and investigations of intrastate motor carriers.
Transportation Industry Analyst III	Performs staff functions related to the household goods carrier enforcement program including tariffs, audit and dispute resolution.

625 ILCS 5/18c
Section 1604
Requirement (3)

ICC Police Sergeant (2 positions)	Performs day-to-day supervision of the Commission's sworn and non-sworn police section employees.
Manager - Review and Examination	Directs transportation hearings program.
Receptionist	Provides secretarial and clerical support to the hearings program.
Administrative Law Judge IV (2 positions)	Hears cases for household goods carrier authority, relocation towing licenses and railroad crossing improvement petitions.
Customer Service Supervisor	Supervises the day-to-day activities of the Processing and Information Section of the Transportation Bureau. Assigns work to staff members and monitors workflow in order to ensure the Section is providing timely and responsive customer service to regulated entities.
Transportation Industry Customer Service Representative II (6 positions)	Provides services to the public, transportation and insurance industries consistent with the clerical and technical tasks related to various laws, rules and regulations involved in the regulation of motor carriers.
Office Associate	Provides customer service to the motor carrier industry regarding the issuance of licenses, registrations and credentials, filing of leases and the maintenance of liability insurance.
Rail Safety Program Administrator	Directs the Railroad Safety Program.
Railroad Safety Specialist IV (3 positions)	Provide staff work related to the design, installation and maintenance of railroad warning and traffic warning signal systems, investigation of crossing collisions and incidents.
Railroad Safety Specialist III (8 positions)	Provide staff work on cases involving the improvement of railroad grade crossings and crossing separations, conduct crossing signal and surface inspections and conduct Operation Lifesaver Public Education Program.
Railroad Safety Specialist III	Conduct inspections to determine railroad company compliance with operating practice standards.

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Section 1604
Requirement (3)

Railroad Safety Specialist III
(2 positions)

Conduct inspections of railroad equipment and facilities carrying or handling hazardous materials.

Railroad Safety Specialist III
(3 positions)

Conduct inspections of mainline track operated by registered rail carriers.

Railroad Safety Specialist II

Provide staff work on cases involving the improvement of railroad grade crossings and crossing separations, conduct crossing signal and surface inspections and conduct Operation Lifesaver Public Education Program.

Receptionist

Performs secretarial and clerical support for the Railroad Safety Program.

625 ILCS 5/18c
Section 1604
Requirement (4)

Allocation of Expenditures
Between the Transportation Regulatory Fund
and Other Funds

Section 18c-1603(2)(b) of the Illinois Commercial Transportation Law, 625 ILCS 5/18c-1603(2)(b), details the requirements for expenses allocated partially or entirely to the Transportation Regulatory Fund.

(2) Allocation of Expenses to the Fund.

(b) Expenses Partially Allocated Entirely to the Transportation Regulatory Fund.

A portion of expenses for the following persons and activities may be allocated to the Transportation Regulatory Fund:

- (i) The Executive Director, his deputies and personal assistants, and their clerical support;
- (ii) The legislative liaison activities of the Office of Legislative Affairs, its constituent elements and successors;
- (iii) The activities of the Bureau of Planning and Operations on the effective date of this amendatory Act of the 94th General Assembly, exclusive of the Chief Clerk's office;
- (iv) The payroll expenses of Commissioners' assistants;
- (v) The internal auditor;
- (vi) The in-state travel expenses of the Commissioners to and from the offices of the Commission; and
- (vii) The Public Affairs Group, its constituent elements, and its successors.

(c) Allocation Methodology for Expenses Other Than Commissioners' Assistants. The portion of the total expenses (other than commissioners' assistants' expenses) allocated to the Transportation Regulatory Fund under paragraph (b) of this subsection shall be the portion of staff time spent exclusively on administration and enforcement of this Chapter and Chapter 18a, as shown by a time study updated at least once each 6 months. For FY 2019 the percentage allocated to the Transportation Regulatory Fund was 29%.

(e) Allocation methodology for Commissioners' Assistants Expenses. Five percent of the payroll expenses of commissioners' assistants may be allocated to the Transportation Regulatory Fund.

625 ILCS 5/18c
Section 1604
Requirement (4)

Allocation of Expenditures Between Classes
Within the Transportation Regulatory Fund

The Motor Carriers of Property, Rail Carriers, and Other Modes

Actual expenditures were charged to the various modes within the transportation fund when identifiable. Where expenditures were not attributable to a specific mode, allocations were determined and applied to these expenditures.