**Section 3701.EXHIBIT G Viatical Settlement Broker Certification (Form VSB-004)**

|  |
| --- |
| **Viatical Settlement Broker Certification** |

|  |
| --- |
|  |
| **This section should be completed by viatical settlement brokers.** |
|  |
| Please check all forms submitted: |
|  |
| 🞎 | Viatical Settlement Broker Reporting Form – All States and Territories |
|  |
| 🞎 | Viatical Settlement Broker Reporting Form – Illinois Transactions Only |
|  |
| **I hereby certify that the information contained in the reports indicated above is true and accurate. I acknowledge that providing false and misleading information in the reports, or failing to divulge a fact material thereto, is sufficient grounds for administrative action by the Director and, potentially, applicable criminal penalties.** |
|  |
|

|  |  |  |  |
| --- | --- | --- | --- |
|  |  | Date: |  / / |
| Signature of individual that prepared reports |  |  |  |
| Print or type name |  | Date: |  / / |
| Signature of Authorized Representative |  |  |  |
| Print or type name |  |  |  |

 |
|  |
|  |
|  |

(Source: Added at 39 Ill. Reg. 4975, effective March 23, 2015)